

CITY OF SAN JOSE LOBBYIST REPORT

SEE INSTRUCTIONS ON REVERSE
File Original with the City Clerk



For Official Use Only

Page ____ of ____.

☐ This is a Registration Report.

☐ This is a Quarterly Report covering the period:

☐ January 1-March 31, _____ (Due by Apr 15th)

☐ April 1-June 30, _____ (Due by Jul 15th)

☐ July 1-September 30, _____ (Due by Oct 15th)

☐ October 1-December 31, _____ (Due by Jan 15th)

☐ This is an AMENDMENT to the Lobbyist Report filed: _____.

☐ This is a TERMINATION Report effective as of: _____.

NAME OF LOBBYIST:

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

TELEPHONE NUMBER:

()

FAX NUMBER:

()

MAILING ADDRESS: (If different than above)

E-MAIL:

REPORT FOR EACH CATEGORY OF LOBBYIST: (Check all that apply)☐ CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

☐ Sole Proprietorship ☐ Partnership ☐ Non-Profit Corporation ☐ For-Profit Corporation ☐ Other _____

Name of each person working for the Contract Lobbyist that is engaged in lobbying activity: _____

☐ If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: _____☐ If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: _____

☐ IN-HOUSE LOBBYIST

Specify how the In-House Lobbyist is organized:

☐ Sole Proprietorship ☐ Partnership ☐ Non-Profit Corporation ☐ For-Profit Corporation ☐ Other _____

Name of each owner, compensated officer, or compensated employee engaged in lobbying activity on behalf of the In-House Lobbyist:

☐ If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: _____☐ If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: _____

☐ EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

☐ Sole Proprietorship ☐ Partnership ☐ Non-Profit Corporation ☐ For-Profit Corporation ☐ Other _____

Name of each person working for the Expenditure Lobbyist that is engaged in lobbying activity (if applicable): _____

☐ If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: _____☐ If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: _____

☐ This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

SECTION I. CONTRACT LOBBYIST CLIENT INFORMATION

Page ____ of ____.

CLIENT INFORMATION

Client Name, Address and Telephone Number

Effective Date

Describe the nature and purpose of the Client's business.

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE CONTRACT LOBBYIST SEEKS TO INFLUENCE.Describe in detail the legislative or administrative action(s) the Contract Lobbyist was retained to influence and the outcome sought.

1. _____
2. _____
3. _____
4. _____

CONTACT INFORMATION

City Official Contacted: _____ Individual Who Made Contact: _____

Identify Action: _____

Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)

City Official Contacted: _____ Individual Who Made Contact: _____

Identify Action: _____

Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)

City Official Contacted: _____ Individual Who Made Contact: _____

Identify Action: _____

Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)**COMPENSATION**

☐ A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with this Client by: _____
(Name of Filer)

The total compensation promised or received from the Client for lobbyist services:

☐ \$0 ☐ \$1-\$500 ☐ \$1,001-\$10,000 ☐ \$100,001-\$200,000 ☐ \$300,001-\$400,000
☐ \$501-\$1,000 ☐ \$10,001-\$100,000 ☐ \$200,001-\$300,000 ☐ Over \$400,001

☐ This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

SECTION II. IN-HOUSE LOBBYIST

Page ____ of ____.

THE NATURE OF THE BUSINESS, ORGANIZATION OR ASSOCIATION.

Describe the nature and purpose of the business, organization or association.

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE IN-HOUSE LOBBYIST SEEKS TO INFLUENCE.

Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

1. _____

2. _____

3. _____

4. _____

CONTACT INFORMATION

City Official Contacted: _____ Individual Who Made Contact: _____

Identify Action: _____

Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)

City Official Contacted: _____ Individual Who Made Contact: _____

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City Official Contacted: _____ Individual Who Made Contact: _____

Identify Action: _____

Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)

CONTINGENT COMPENSATION DISCLOSURE

☐ A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with owners, officers and employees engaged in lobbying activity on its behalf. This disclosure was filed by _____.
(Name of Filer)

☐ This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

SECTION III. EXPENDITURE LOBBYIST

Page ____ of ____.

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) LOBBYIST SEEKS TO INFLUENCEDescribe in detail the legislative or administrative action(s) the Expenditure Lobbyist seeks to influence and the outcome sought.

1. _____
2. _____
3. _____
4. _____

AMOUNT OF PAYMENT OR EXPENDITURE

\$ _____

CONTACT INFORMATION (if applicable)

City Official Contacted: _____ Individual Who Made Contact: _____

Identify Action: _____

Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)

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Date(s) of Contact: _____

Contacts ☐ (1) ☐ (2-5) ☐ (6-10) ☐ (11 or more)☐ This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

IV. ADDITIONAL DISCLOSURES

Page ____ of ____.

- ☐ I have reviewed the schedules and forms and I have nothing to report.
- ☐ Attached to this Report is/are the following Schedule(s) or Form(s): (check all that apply)
- ☐ Schedule A – Campaign/Officeholder Contributions, Independent Expenditure, Fundraising, Donation
 - ☐ Schedule B – Payment for Consultant or Other Services
 - ☐ Schedule C – Activity Expenses
 - ☐ Form D – Lobbyist Disclosure of Contingent Compensation (May File Separately)
 - ☐ Form E – Lobbyist Annual Registration and Client Renewal
 - ☐ Form F – Contract Lobbyist Client Notice of Termination (May File Separately)
 - ☐ Form G – Contract Lobbyist Notice of New Client (May File Separately)

V. FEES DUE

- | | | |
|---|----------|----------|
| <input type="checkbox"/> Lobbyist Registration Fee (January 1 – June 29) (\$350) | \$ _____ | |
| <input type="checkbox"/> Pro -Rated Registration Fee (June 30 – December 31) (\$175) | \$ _____ | |
| <input type="checkbox"/> Contract Lobbyist Client Fee (\$60) x Number of Clients (_____) | \$ _____ | |
| <input type="checkbox"/> Form E: Annual Lobbyist Registration Renewal (\$350) | \$ _____ | |
| <input type="checkbox"/> Form E: Annual Contract Lobbyist Client Fee Renewal (\$60) x Number of Clients (_____) | \$ _____ | |
| Total Payment Due With Report | | \$ _____ |

Note: Consult with the Office of the City Clerk to determine if you are subject to late fees in addition to the payment due above.

VERIFICATION

I certify that I have been authorized by the Lobbyist identified above to make this verification. I have reviewed the requirements of the provisions of the San Jose Municipal Code (Chapter 12.12). I certify under penalty of perjury under the laws of the State of California that I have reviewed this Lobbyist Report and to the best of my knowledge the information contained herein is true and complete.

Print Name _____	Title _____
Signature _____	Executed On _____ (month, day, year)